

Kinetic Monte Carlo Simulation: an Accurate Bridge Between Ab-Initio Calculations and Standard Process Experimental Data

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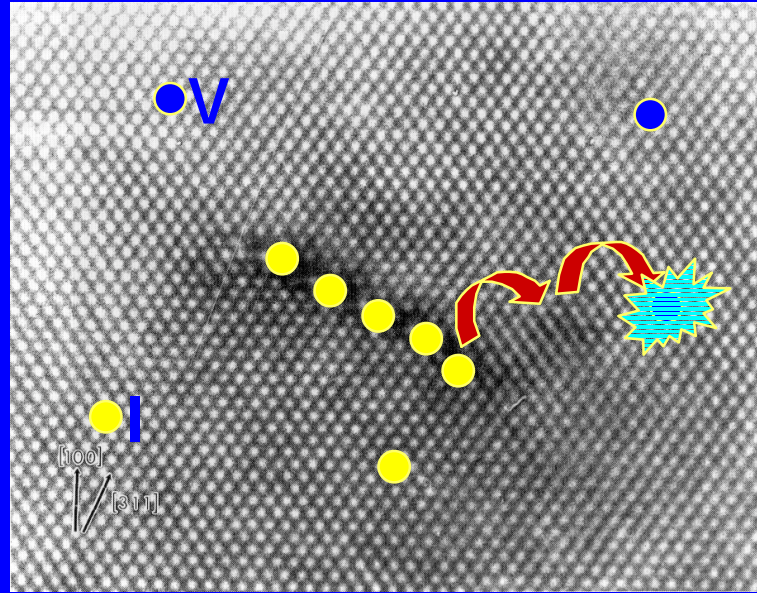
G.H. Gilmer and C.S. Rafferty

Lucent Technologies, Bell Labs, USA

Outline

- Why Kinetic Monte Carlo?
- Ion Implantation damage simulation:
 - Simulation Scheme
 - Examples
- Polycrystalline thin film deposition:
 - Nucleation and Grain Boundaries
 - Examples
- Channeling Implants: Relevance of the Electron Density Distribution

The Kinetic Monte Carlo approach



- Simulate only defects (Point & Extended)
- Use ab-initio or classical MD (off-line) to get the necessary parameters (migration energies, binding, ...)
- Use BCA to generate each cascade (I,V coordinates)
- Anneal using kinetic Monte Carlo (kMC)

Atomistic Simulation
of
Diffusion and Clustering

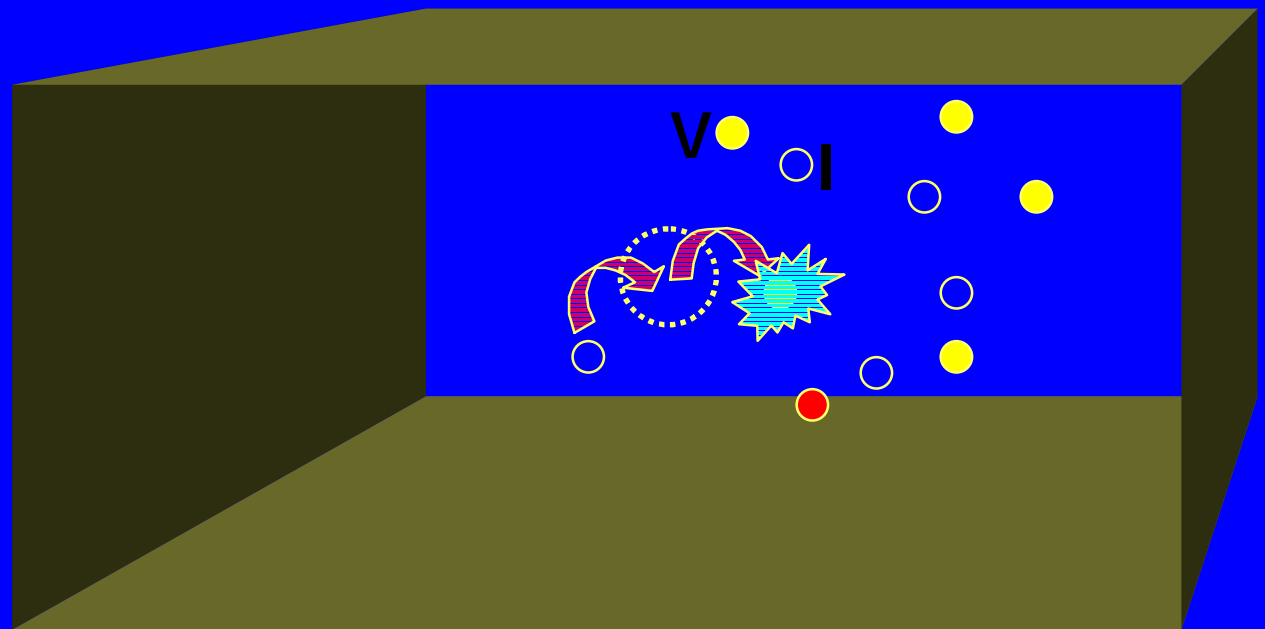
SIMULATION SCHEME

SIMULATION BOX

FRONT SURFACE ↓

LATERAL
BOUNDARY
CONDITIONS:

- PERIODIC
- MIRROR



BACK SURFACE

DEFECT TYPES

1. POINT DEFECTS

- **SINGLE POINT DEFECTS: V, I, B, C, ...**

- **POSSIBLE EVENT: JUMP**

$$\text{Jrate} = 6 * D_0 * \exp(-E_a / kT) / L^2$$

- **PAIR POINT DEFECTS: IB, Bi, VO, ...**

- **POSSIBLE EVENTS:**

- **JUMP:**

- **BREAK UP: $IB \rightarrow I + B$**

- **SWITCH: $IB \rightarrow Bi$**

INTERACTION BETWEEN DEFECTS:

- **CAPTURE RADIUS = 3.84 Å**

- **WITH / WITHOUT AN INTERACTION BARRIER**

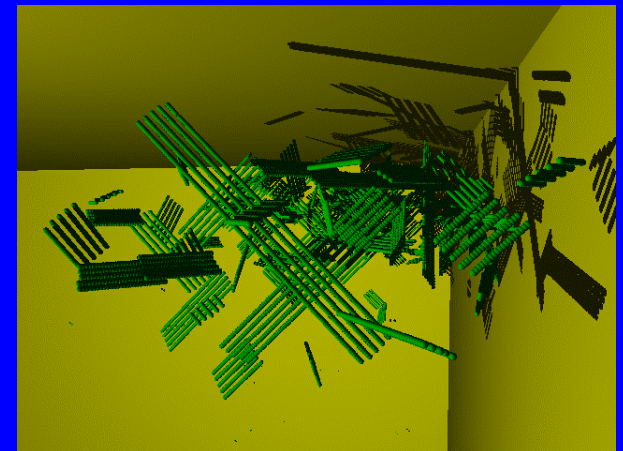
SIMULATION SCHEME

DEFECT TYPES

2. CLUSTERS

{311}'s

- **SHAPES:**
 - **IRREGULAR (blob):** V, B, C, ...
 - **SPECIFIC:**
 - **VOIDS**
 - **{311}'s**
 - **DISLOCATION LOOPS**
 - **STACKING FAULTS**
- **POSSIBLE EVENTS:**
 - **CAPTURE** of a point defect
 - **EMISSION** of a point defect



DEFECT TYPES

3. COMPLEXES

COMPOSITION:

BINARY: $I_n B_m$, $I_n C_m$, $V_n O_m$, ...

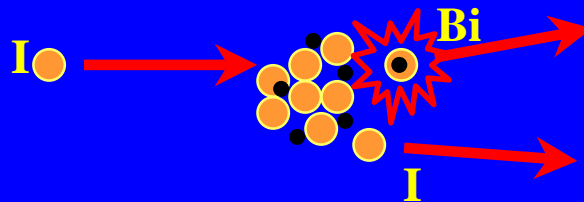
TERNARY, ...

SHAPE:

IRREGULAR (small sizes)

POSSIBLE EVENTS:

CAPTURE or **EMISSION** of a point defect (**SINGLE** or **PAIR**)



SIMULATION SCHEME

DEFECT TYPES

4. SURFACES

FREE SURFACE (Front):

THERMAL I-V GENERATION

Neutral, Oxidation (I), Nitridation (V)

SINK for point defects:

from perfect SINK

to perfect MIRROR (energy barrier)

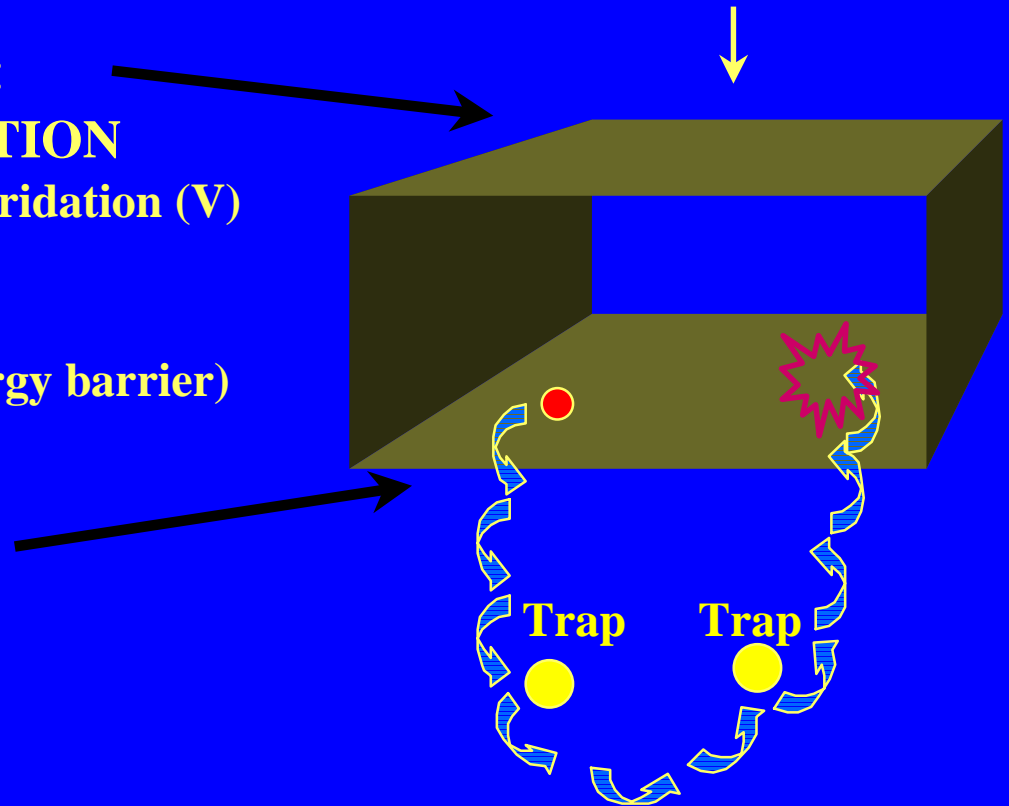
BULK (Back surface):

DELAYING SURFACE:

Random walk

Bulk Traps

Re-emission from Traps



SIMULATION SCHEDULER

EXAMPLE:

	n	J_{rate} (jumps/s)	Total Jumps/s
V	2	1000	2000
I	5	10	50

- **To simulate 1 second anneal we need to simulate 2050 Jumps $\Rightarrow \Delta t = 1/2050$ seconds per Jump**
- **We have to pick up V's and I's with a probability of 2000/2050 and 50/2050, respectively.**

DIFFUSION SIMULATOR

CONTINUUM

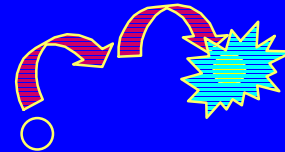
ATOMISTIC

TO SIMULATE:



SOLVE:

$$dI/dt = D d^2I/dx^2 - R_{Bulk}$$



PROGRAM:

```
annihilate(I);  
annihilate(V);
```

TO ADD NEW MECHANISM:

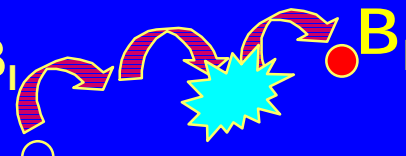


SOLVE:

$$dI/dt = D d^2I/dx^2 - K_{IF} B_S I + K_{IR} B_I - R_{Bulk}$$

$$d B_I /dt = D d B_I^2/dx^2 + K_{BIF} B_S I - K_{BIR} B_I$$

$$d B_S /dt = - K_{EBS} B_I + K_{ESB} B_I$$



PROGRAM:

```
annihilate(I);  
annihilate(B_S);  
create(B_I);
```

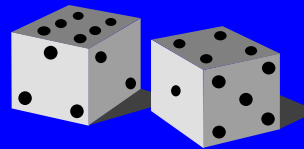
⇒ ALMOST NO ADDITIONAL COMPUTATION TIME

SIMULATION EXAMPLES

**AT THE UNIVERSITY OF VALLADOLID WE HAVE
IMPLEMENTED A FAST ATOMISTIC SIMULATOR :**

DADOS

(Diffusion of Atomistic Defects, Object-oriented Simulator)



PERFORMANCE: 1.4 seconds / Million events

(450 MHz Pentium II Xeon, Microsoft Visual C++ compiler)

**On average, simulates one event in the time it takes to
calculate three Jump rates:**

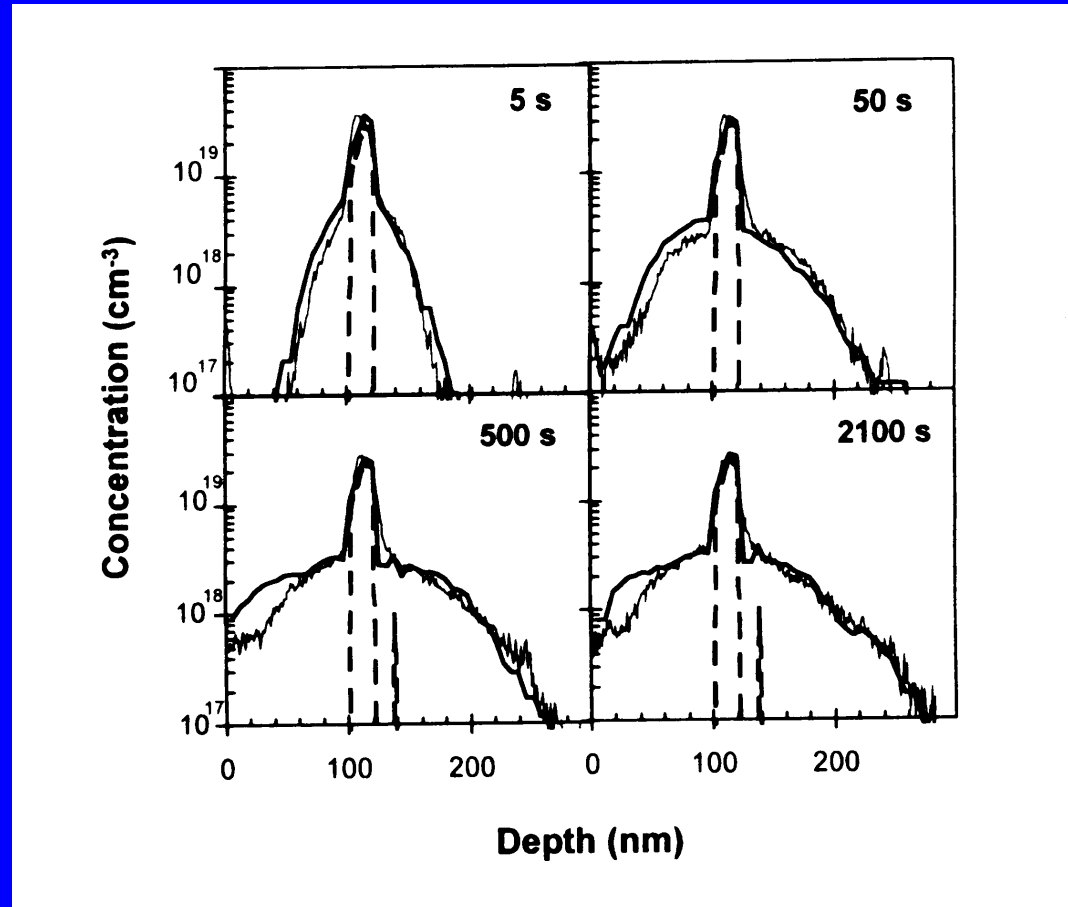
$$\text{Jrate} = 6 * D_0 * \exp (-Ea / kT) / l^2$$

*Anomalous Boron Diffusion
in Silicon Processing*

Anomalous Boron Diffusion in Silicon Processing:

Experimental data (SIMS) and Kinetic Monte Carlo

simulation



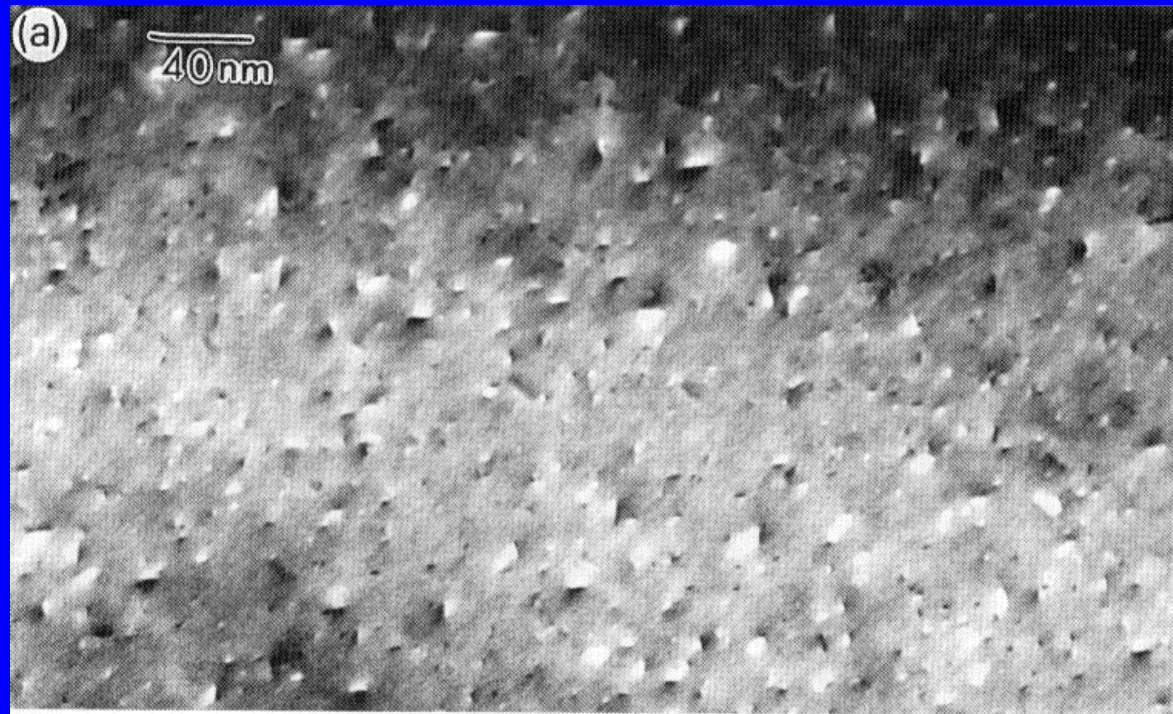
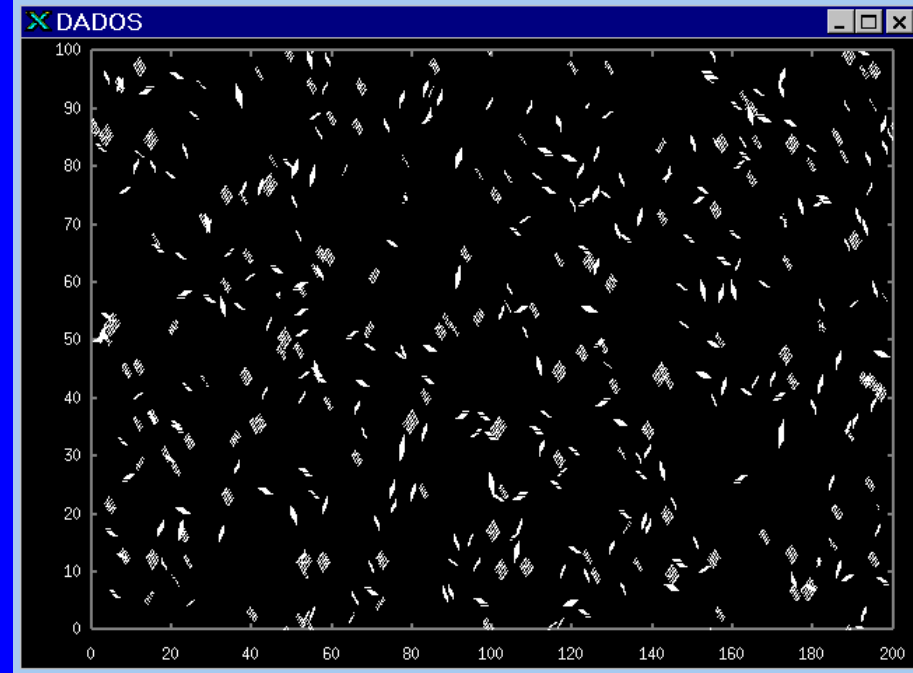
Appl. Phys. Lett. **70**, 2285 (1997)

*Ion Implantation damage in Si:
{311} self-interstitials Defects*

DADOS simulation

40 keV Si implant

after 5 seconds at 800C

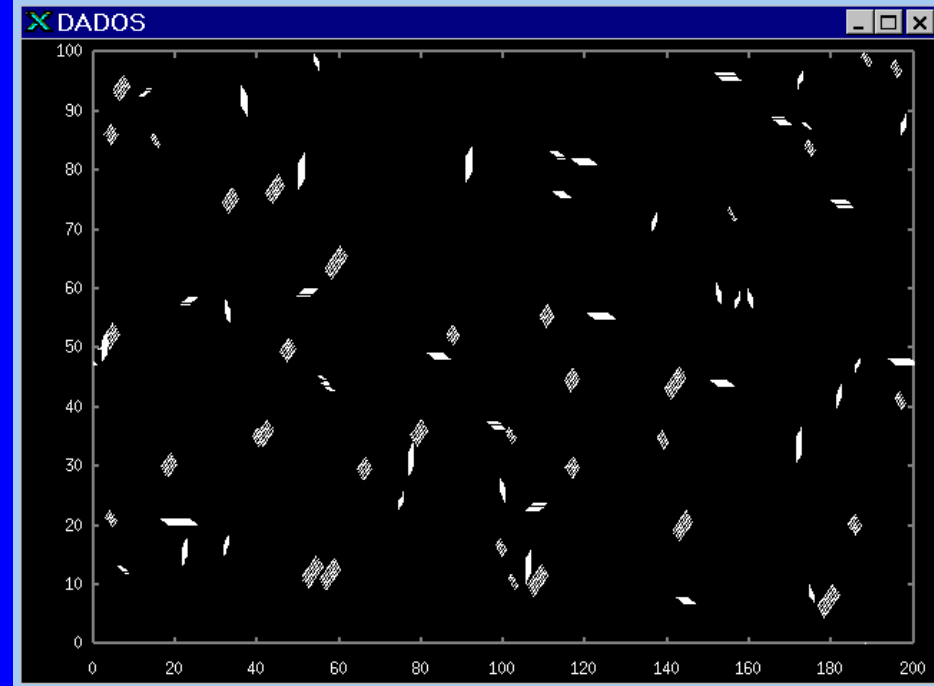


Experiment (TEM)

DADOS simulation

40 keV Si implant

after 30 seconds at 800C

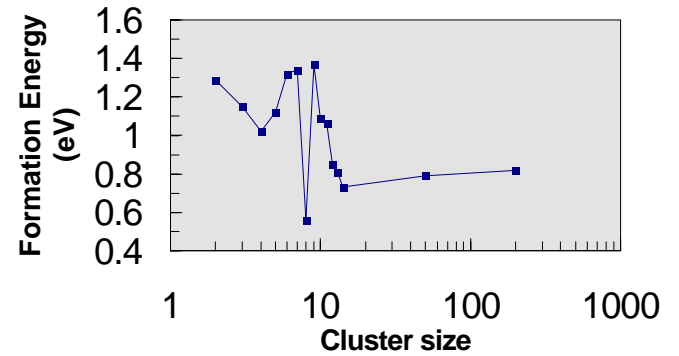
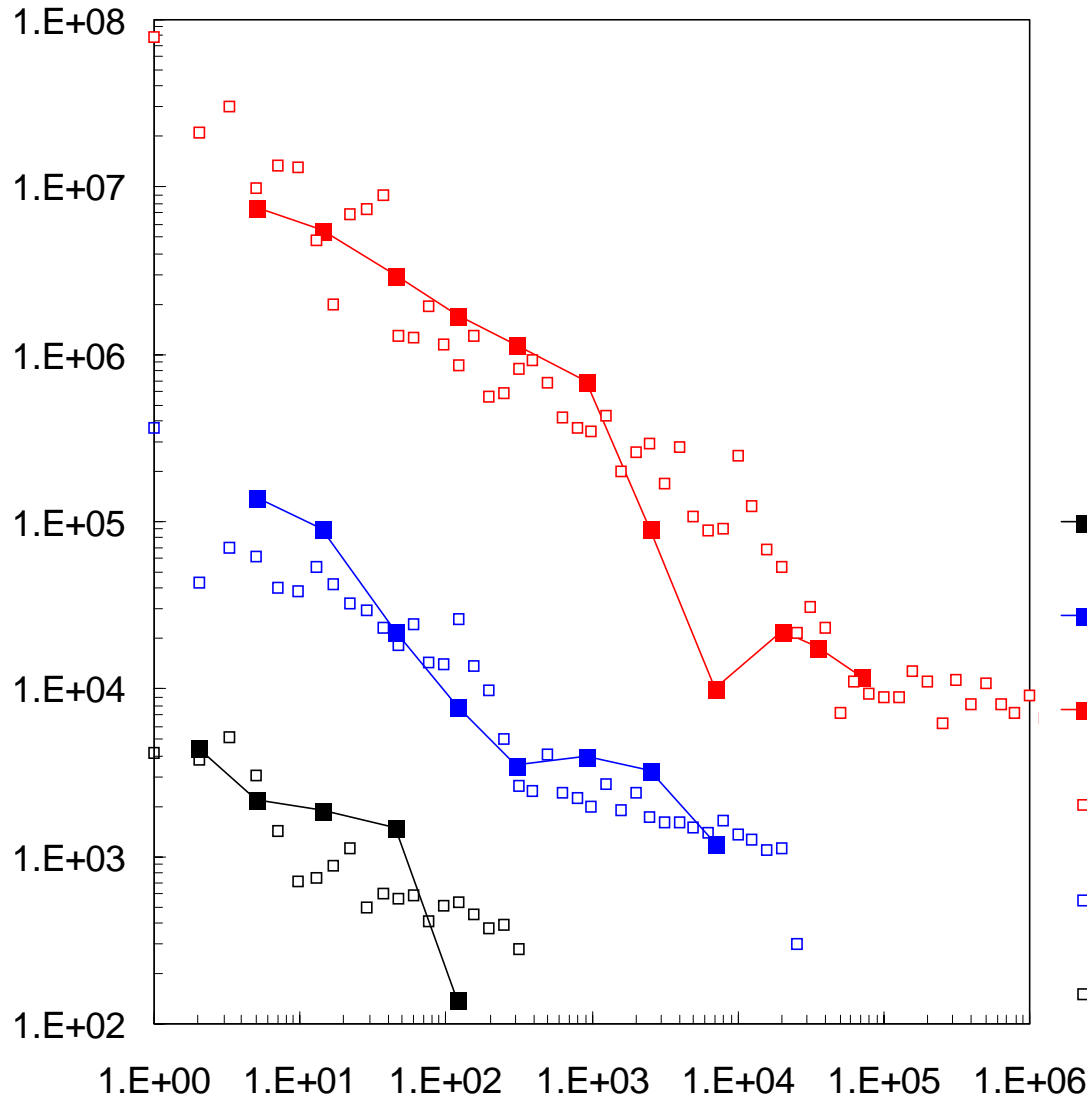


Experiment (TEM)



Energetics of {311} Defects

Interstitial Supersaturation vs. Annealing Time

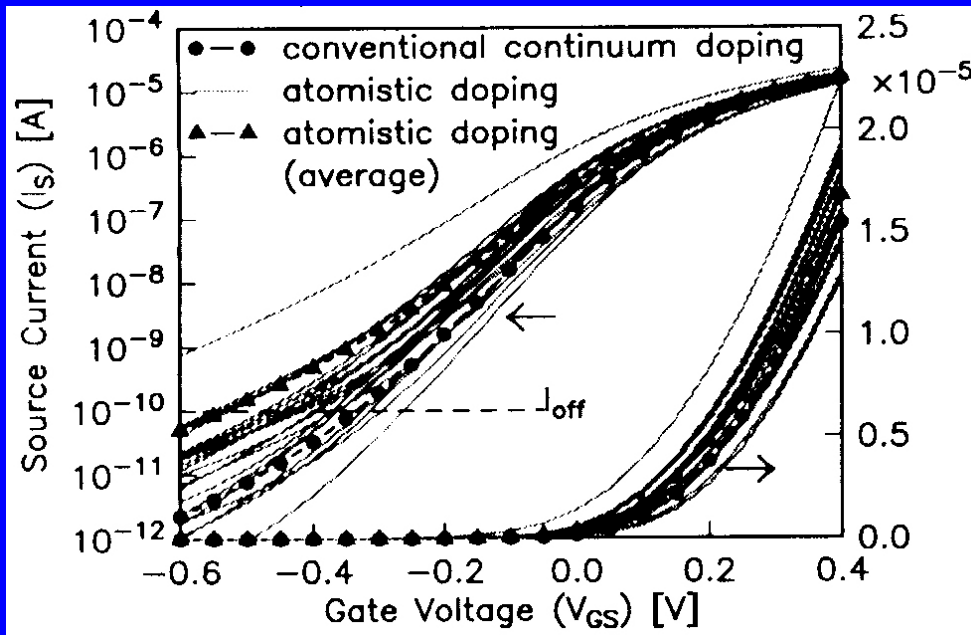


- Experiment 600C
- Experiment 700C
- Experiment 800C
- DADOS 600C
- DADOS 700C
- DADOS 800C

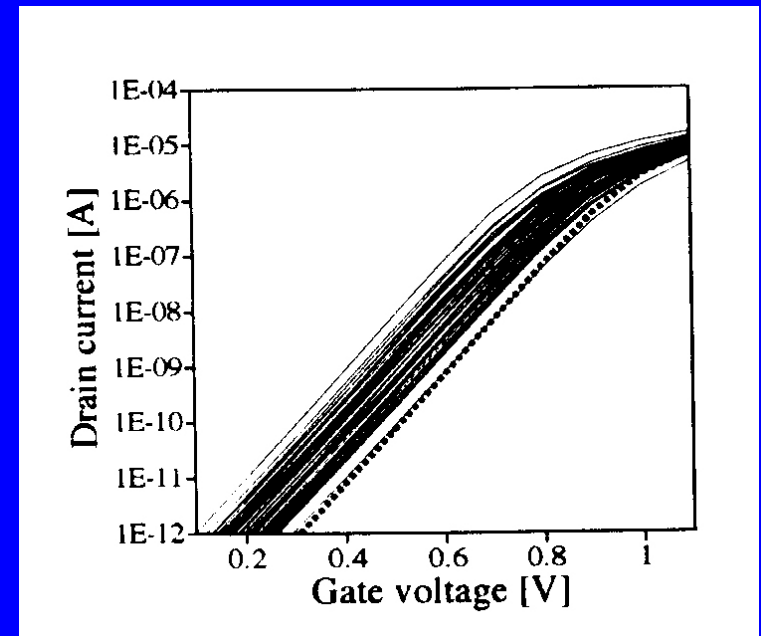
Dopant Fluctuations

Discreteness of Channel Dopants => Average Shift of Threshold Voltage

H.S Wong and Y. Taur, IEDM 93



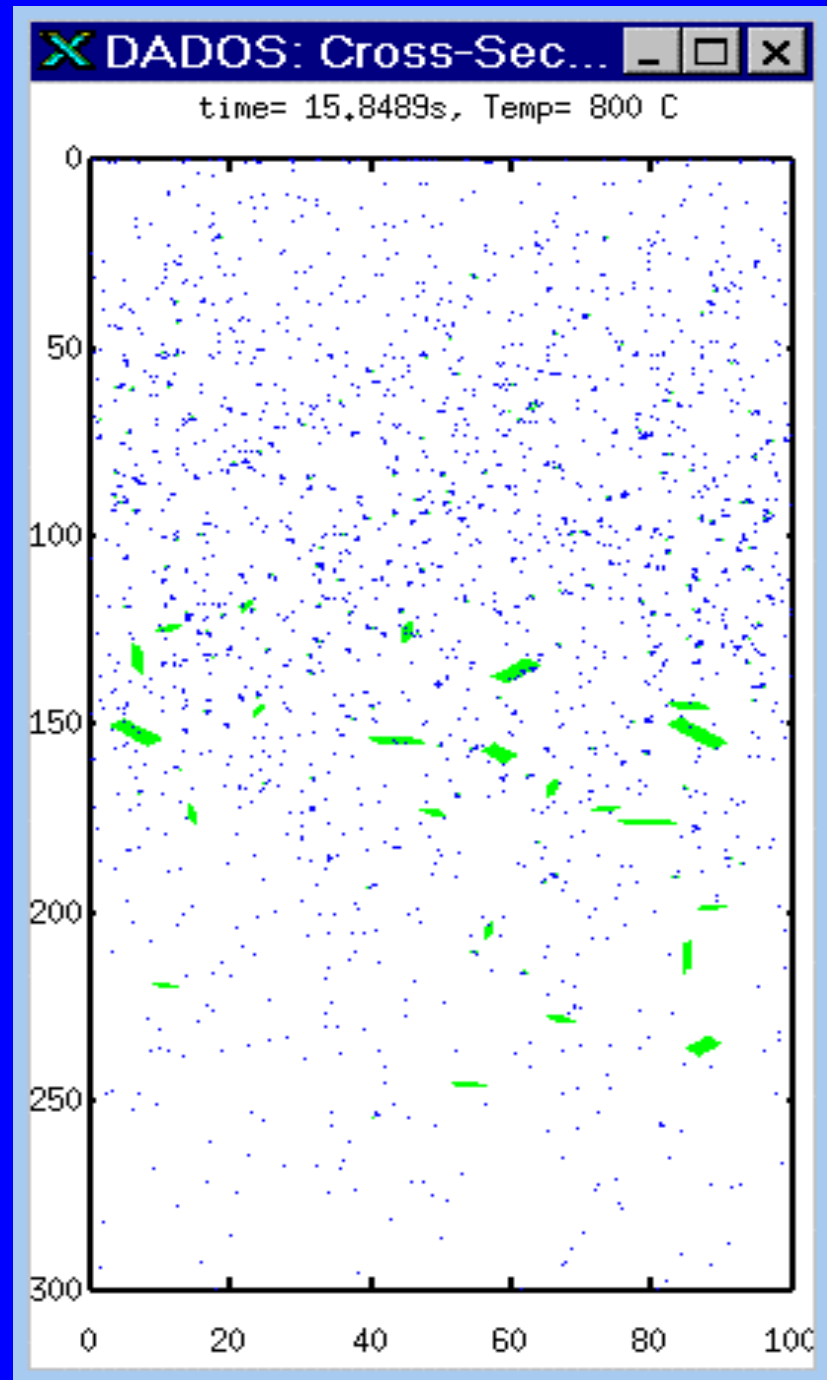
A. Asenov, IEDM 98



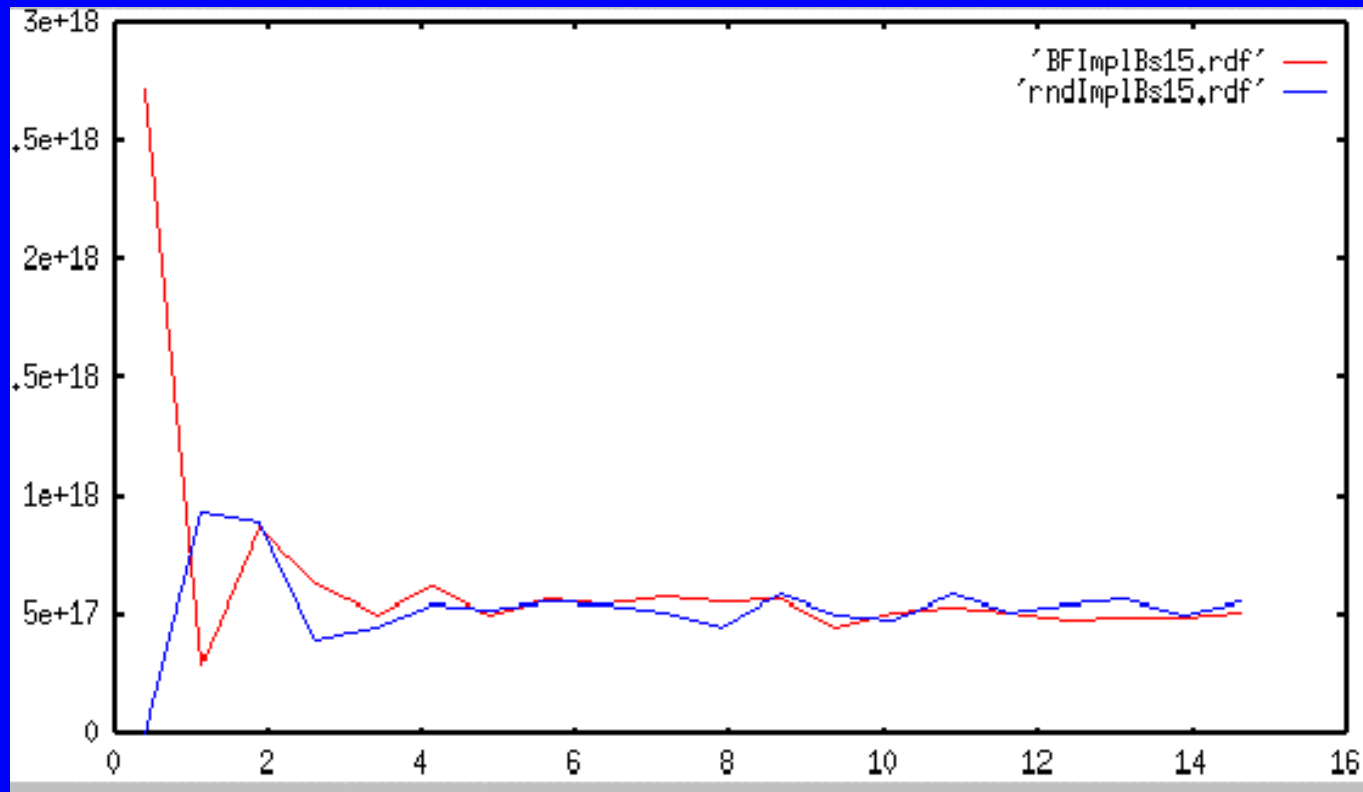
=> Do the local inhomogeneities due to clusters induce a spatial correlation in the dopant atoms?

Comparison between same dopant depth distributions generated:

- Randomly
- With the actual ion cascades



Radial Distribution Function



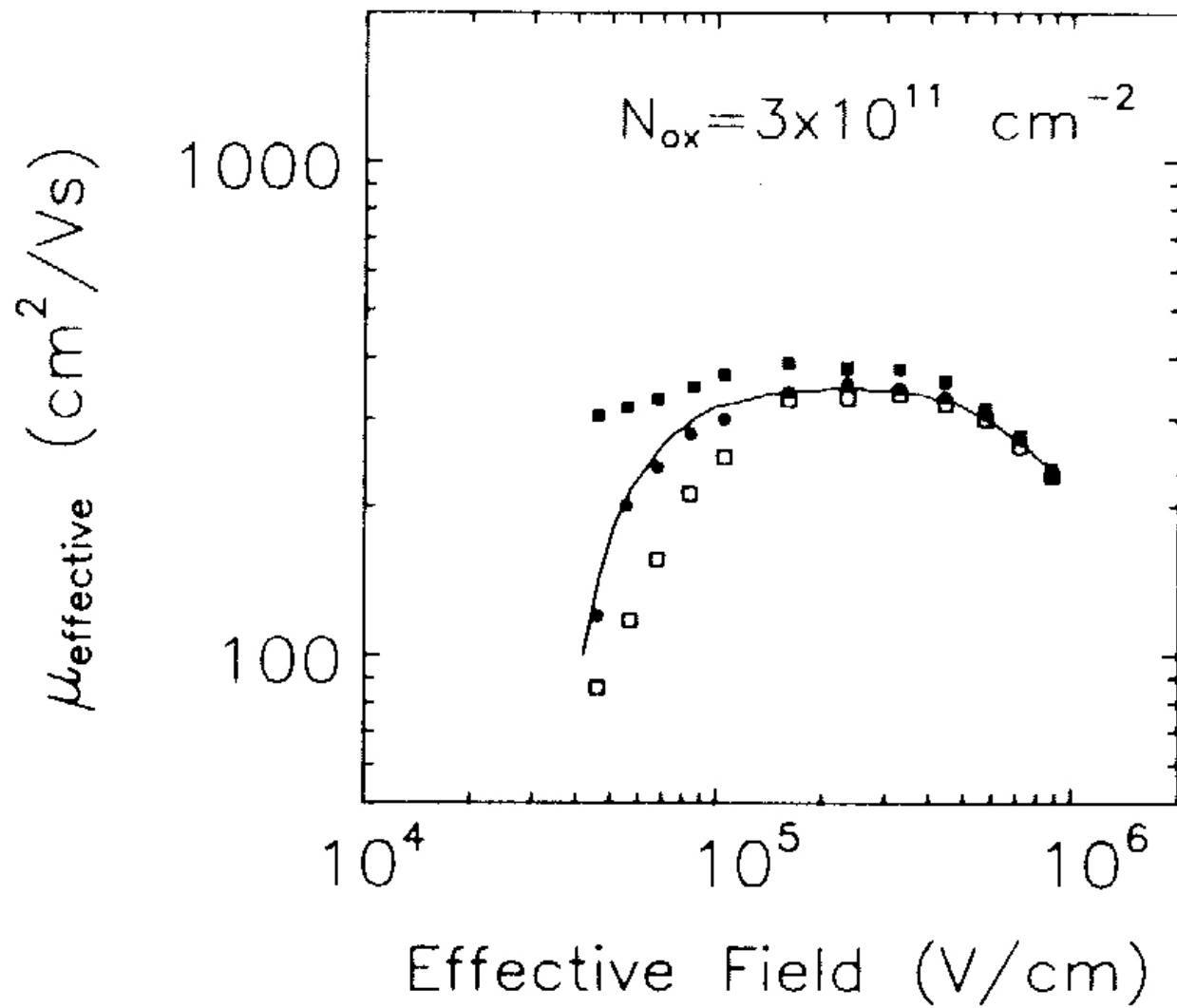
Blue: Random
Red: Cascades

=> The diffusion process fully randomizes any local inhomogeneities due to clusters

However, diffusion of charged point defects could still give rise to space correlation (local fluctuations).

In fact, similar effects have been shown to occur in the oxide charge distribution in MOS structures => increase in the effective mobility.

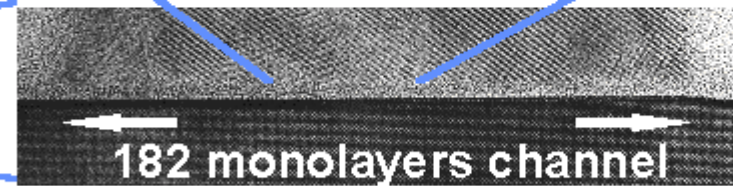
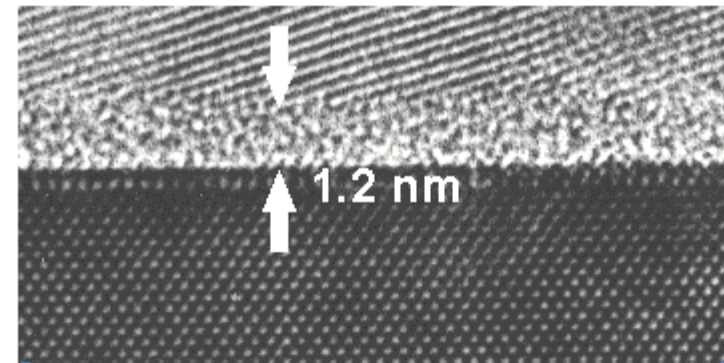
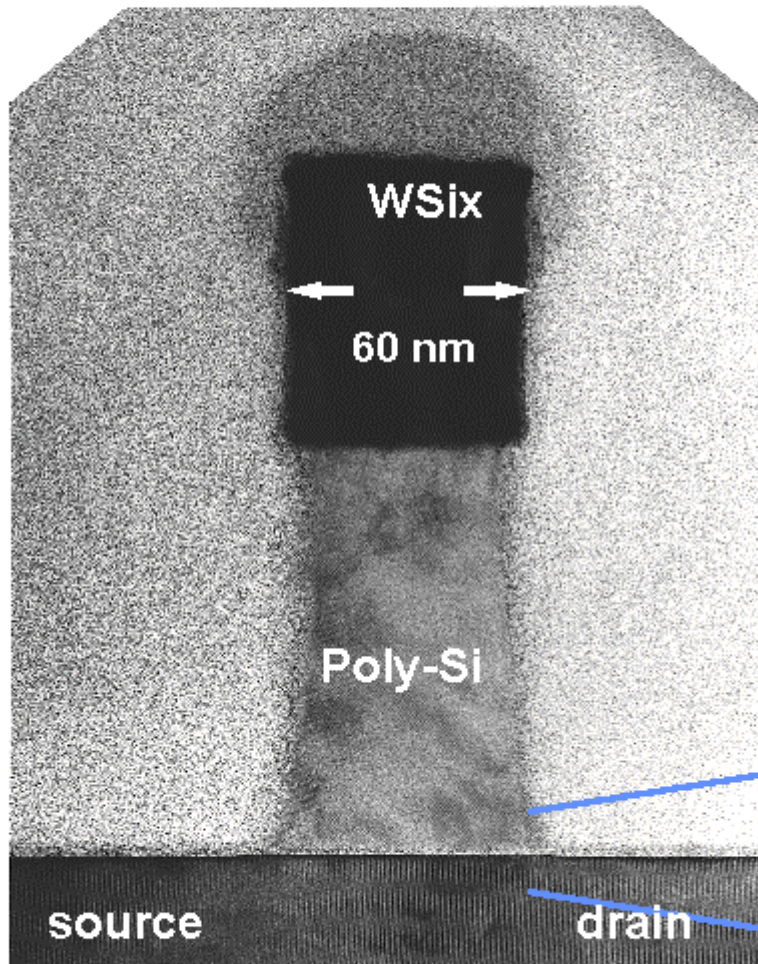
F. Gamiz et al., Semic. Sci. And Technol. 9, 1102-1107 (1994)



0.12 μm MOSFET

Towards sub-0.1 μm technology

Lucent Technologies
Bell Labs Innovations

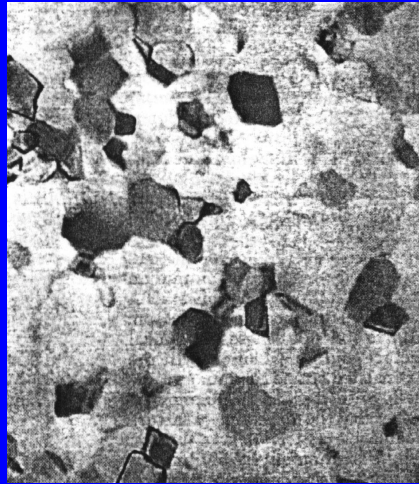


TEM micrograph: F. Baumann

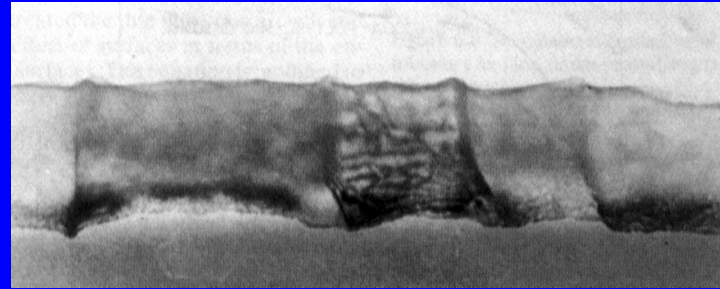
***KINETIC LATTICE MONTE
CARLO SIMULATION OF
POLYCRYSTALLINE
THIN-FILM DEPOSITION***

INTRODUCTION

Aluminum: Polycrystalline structure



100 nm



0.5 μm

* Y-S Kang et al, J. Electron. Mater. 26, 805 (1997)

* S.P. Murarka, "Metallization", Butterworth-Heinemann, 1993

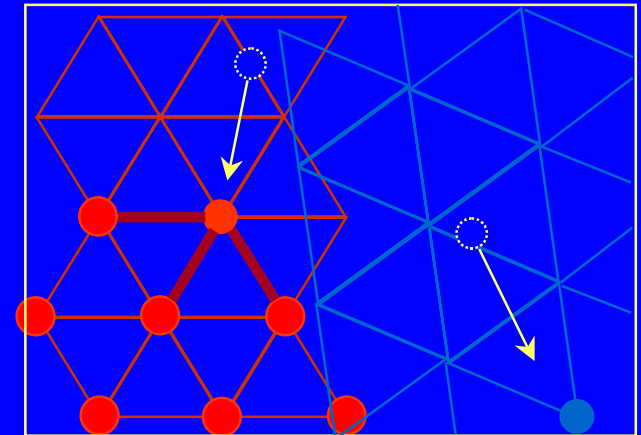
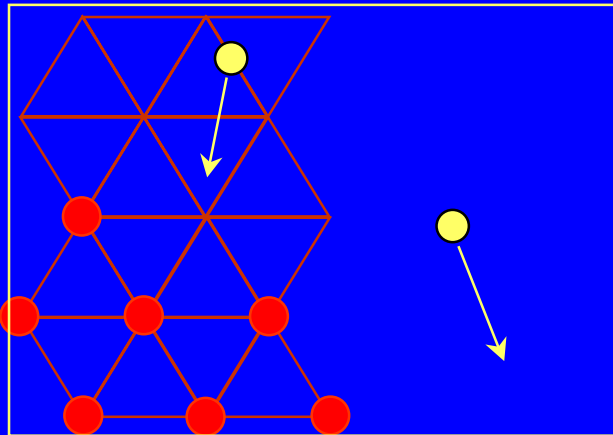
Microstructure of the deposited films during deposition and annealing:

Grain sizes, surface texture

It depends on: temperature, deposition rate, substrate conditions

DEPOSITION

- ➔ Random initial position on the top of the simulation box
Random velocity angles according to the desired angle distribution.
- ➔ Atom travels in a straight line until either:
 - ◆ It finds some neighbors:
it finally get attached to the lower energy site
in the neighborhood
 - ◆ It finds no neighbors: It starts a new orientation.



GRAIN BOUNDARIES

Jump to the Site which minimizes the energy:
Grain growth competition

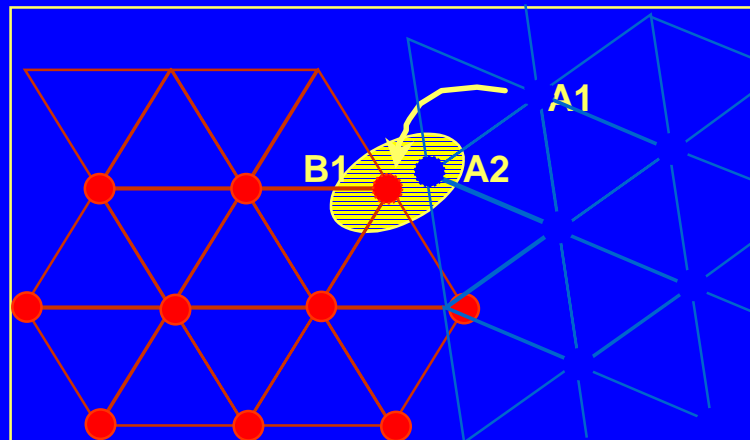


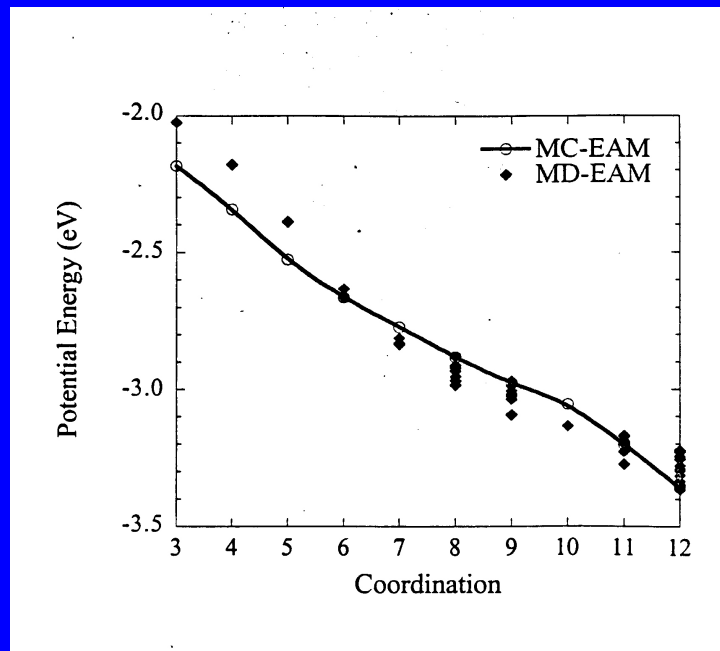
TABLE II. Prefactor (D_a^0) and migration energy (E_m) of each atom used in the MC model is given as a function of coordination (M_i) at the top; and self-diffusion coefficient (prefactor, D_s^0 , and activation energy, Q) on three low index surfaces are given below. For comparison, results according to MD^a and LDA^b calculations are also listed. (The unit of the prefactor is cm²/s, that of energy is eV.)

M_i	3	4	5	6	7	8	9	10	11
D_a^0	2.0×10^{-4}	4.0×10^{-1}	4.0×10^{-3}	1.0×10^{-2}	1.0×10^{-2}	1.0×10^{-2}	1.0×10^{-2}	1.0×10^{-2}	1.0×10^{-2}
E_m	0.10	0.33	0.33	0.60	0.60	0.60	0.60	0.60	0.60

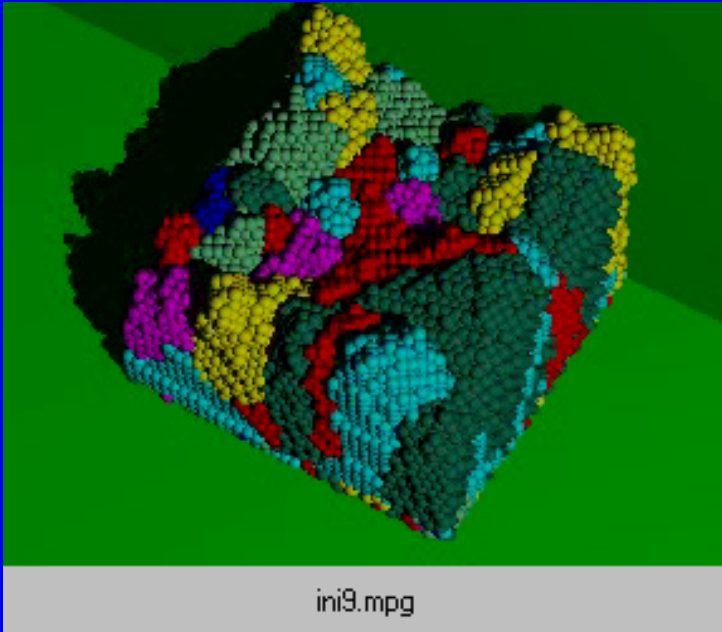
Diffusion Surface	(111)			(100)			(110)		
	MC	MD	LDA	MC	MD	LDA	MC	MD	LDA
D_s^0	2.0×10^{-4}	4.3×10^{-4}	2.0×10^{-4}	4.0×10^{-1}	4.0×10^{-4}	8.0×10^{-3}	4.0×10^{-3}	4.4×10^{-3}	1.0×10^{-2}
Q	1.04	1.02	1.09	0.97	0.68	0.73	0.56	0.56	0.57

^aReference 16.

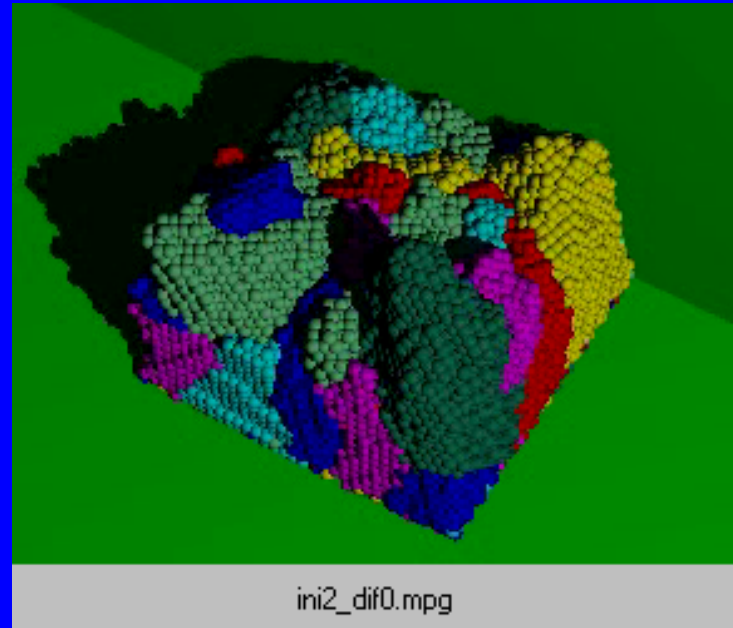
^bReference 14.



SIMULATION RESULTS

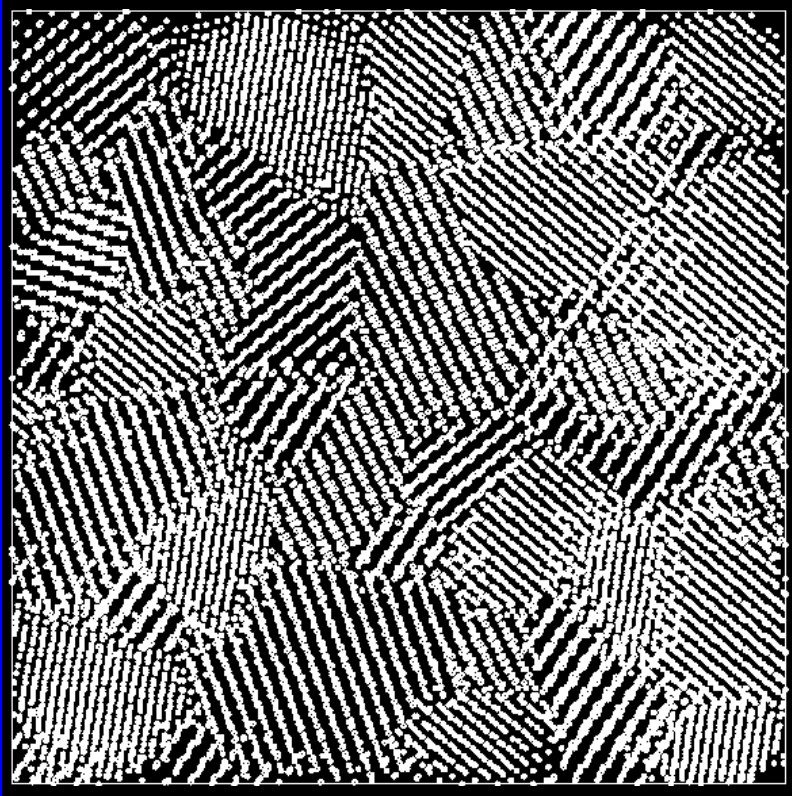


“Wetting substrate”
(Strong bonding)
=> smaller grains

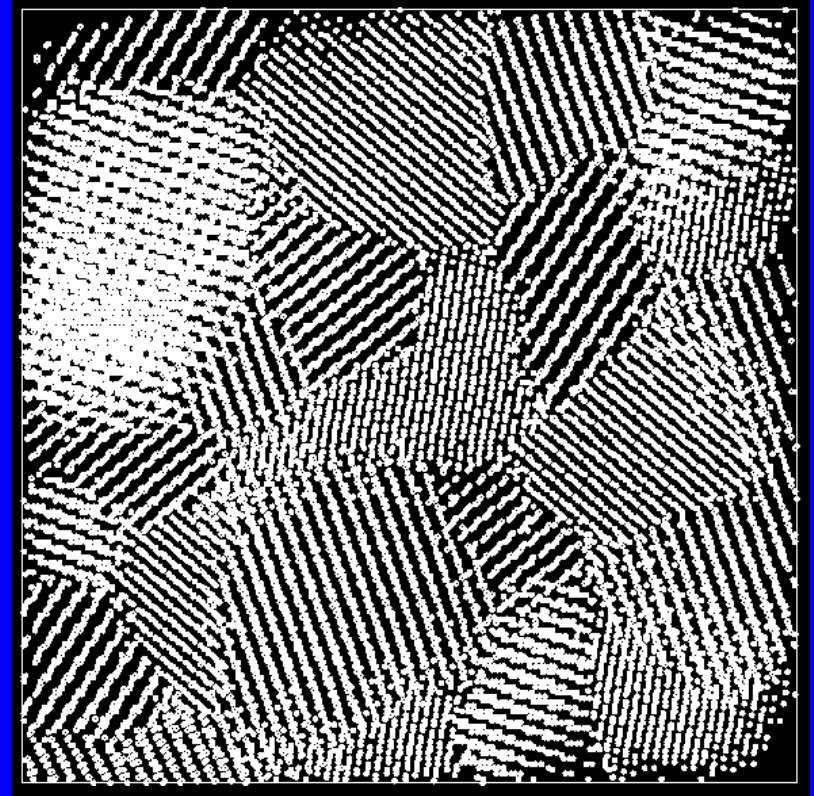


“Non wetting substrate”
(Weak bonding)

Bonding to substrate: effect on the grain size



“Wetting substrate”
(Strong bonding)
=> smaller grains



“Non wetting substrate”
(Weak bonding)

Channeling Implants:

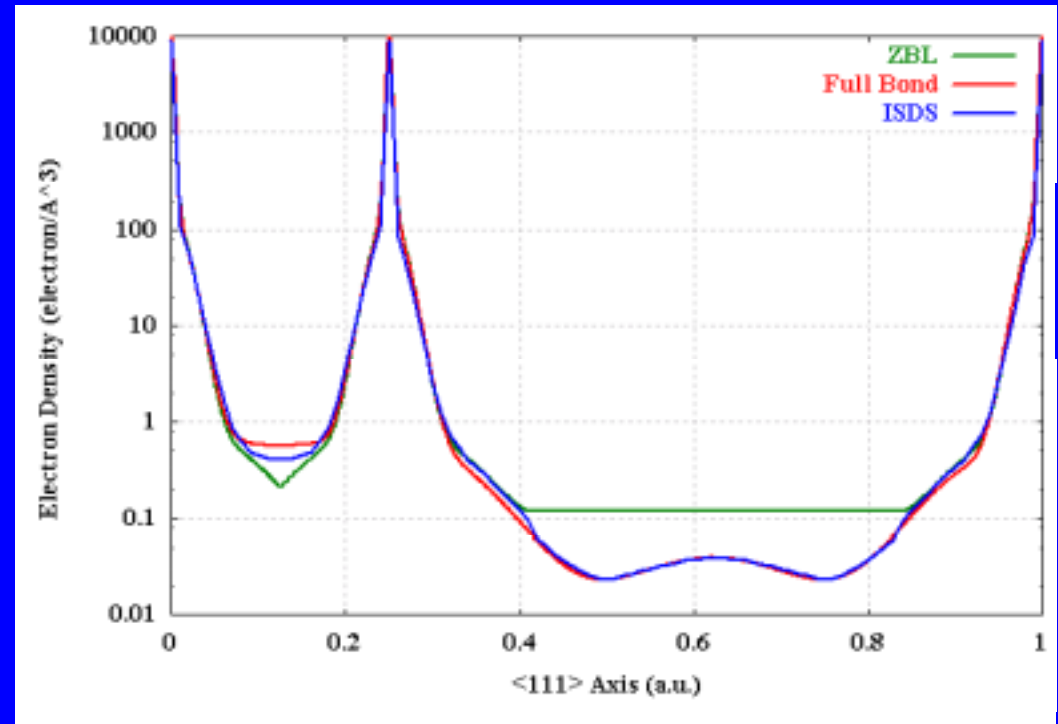
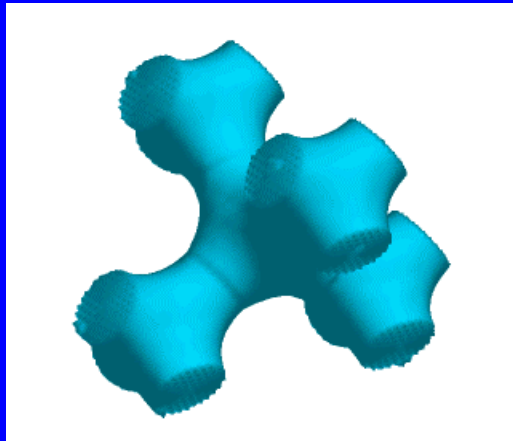
Relevance of the Electron Density Distribution

Channeling Implants: Relevance of the Electron Density Distribution

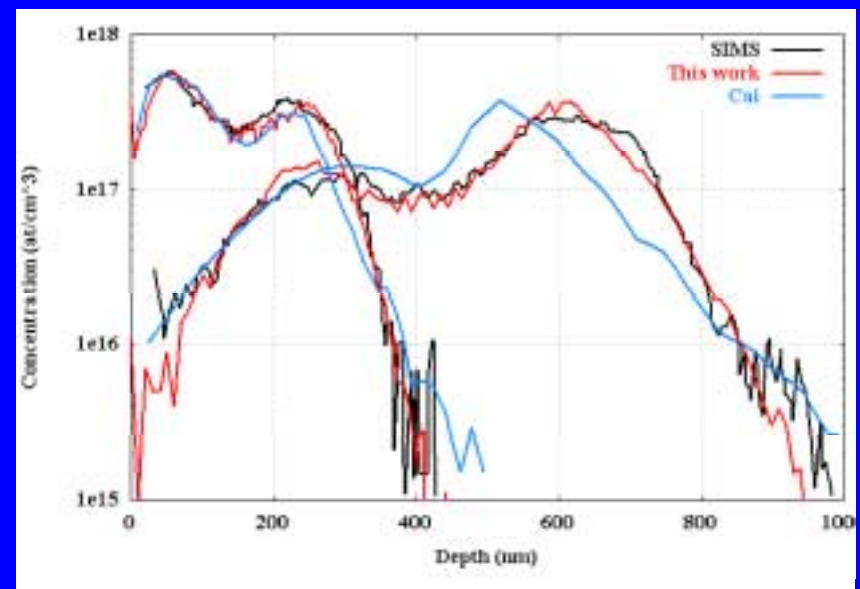
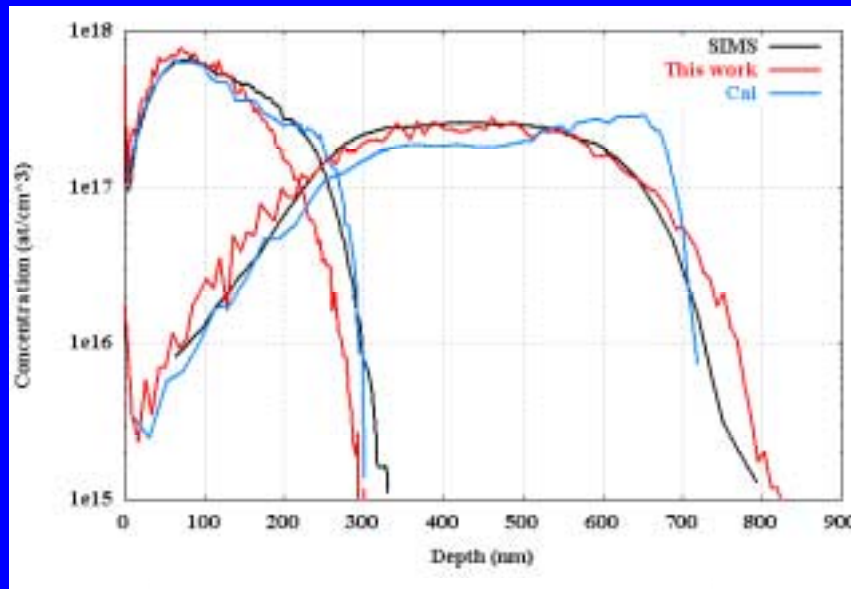
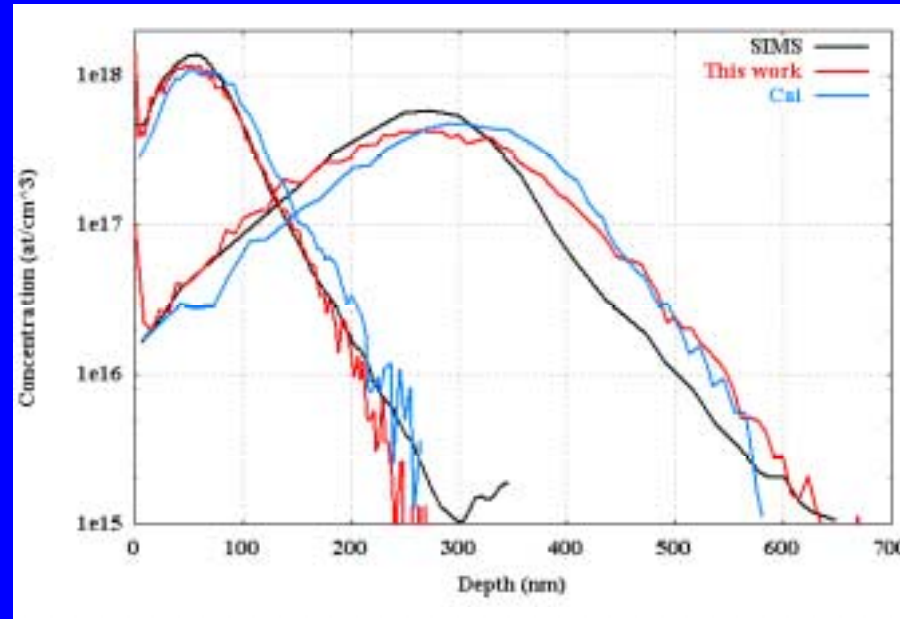
— ZBL(Hartree-Fock)

— LDA

— LDA, radial



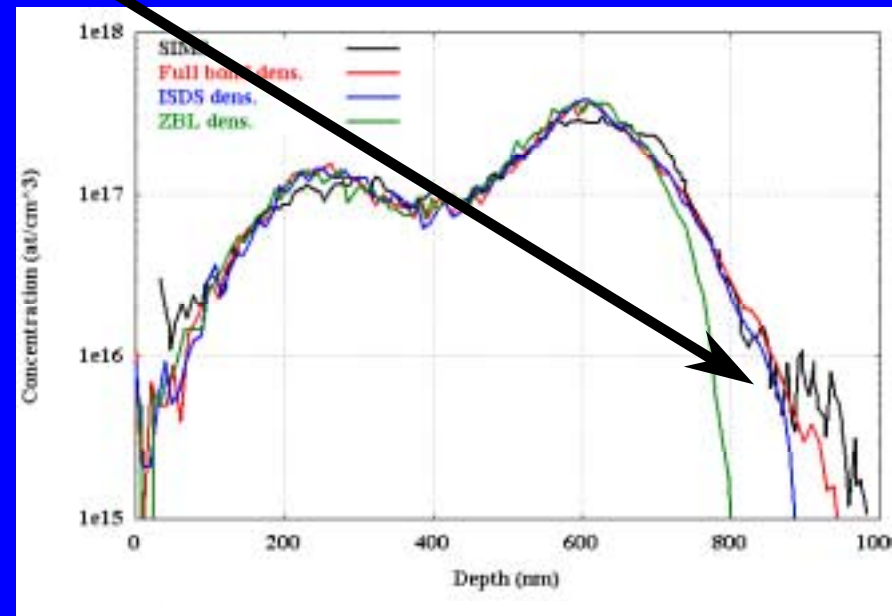
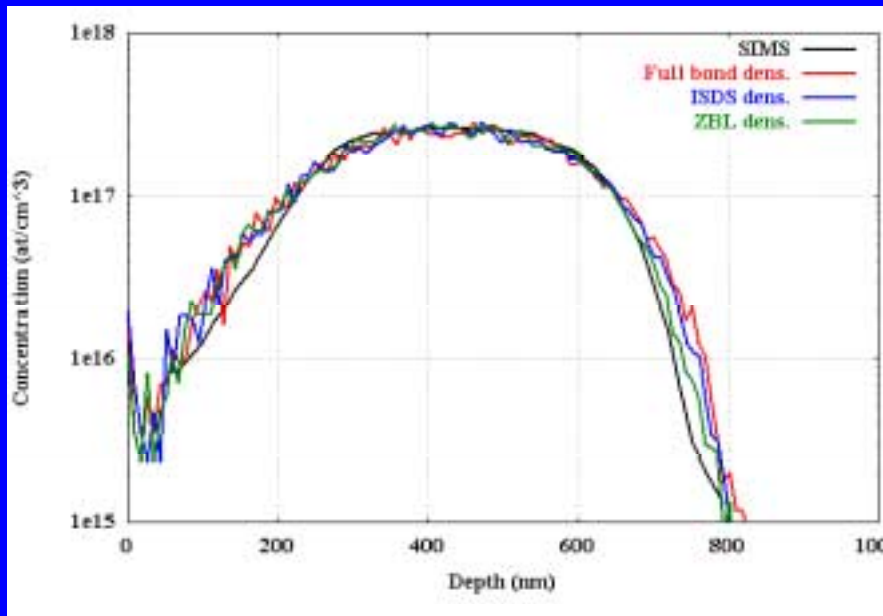
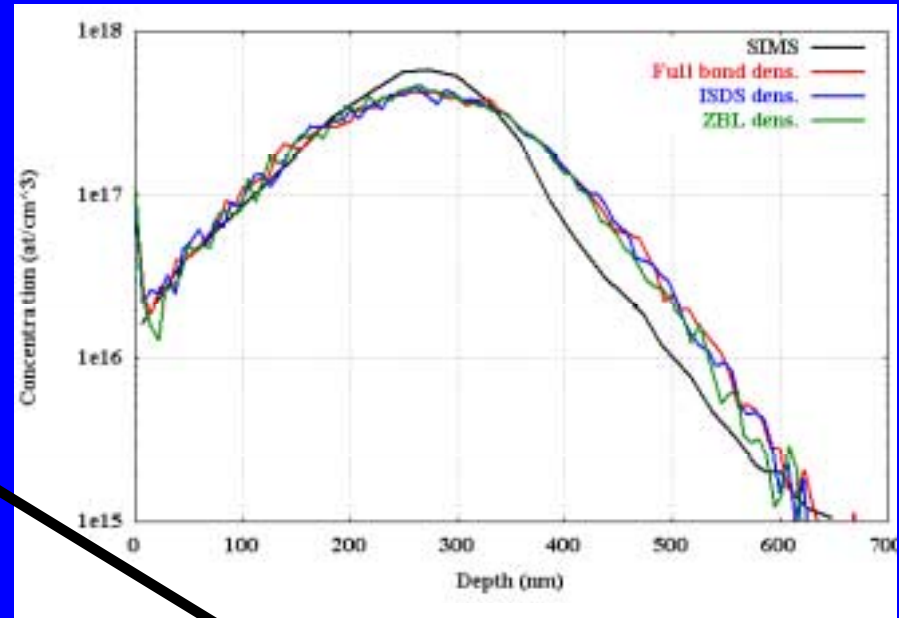
- SIMS
- This Work
- Cai et al.,
Phys. Rev. B54,
17147 (1996)



— SIMS

— LDA

— LDA, radial



Conclusions

- **Atomistic Process Simulators provide a bridge between ab initio calculations and standard process experimental data.**
- **Efficient and accurate 3D simulation.**
- **Straightforward implementation of new mechanisms.**
- **Microelectronics Device Processing:
“Continuum physics models are no longer sufficient below 100 nm. Tools are needed for the physical and chemical processes at an atomic level” (1997 USA National Technology Roadmap for Semiconductors)**